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\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Admitted only in Texas  
\*Practice limited to  
Federal Agencies

September 30, 2003

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Art Unit 1722

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. §1.53(b)  
Appl. No. 10/601,190; Filed: June 23, 2003  
For: **Hot Runner Nozzle with Interlaced Heater and Sensor**  
Inventors: Gellert *et al.*  
Our Ref: 2107.0570004/TUM

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement;
2. Form PTO/SB/08A (4 pages) listing the cited documents;
3. Copies of (5) cited documents, Doc Refs. AO1, BB1, BC2, BE1 and BE2; and
4. One return postcard.

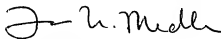
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
September 30, 2003  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Teresa U. Medler  
Attorney for Applicants  
Registration No. 44,933

TUM/crf  
Enclosures

\\ODMA\MHODMA\SKGF\_DC1\182363;1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gellert *et al.*

Appl. No. 10/601,190

Filed: June 23, 2003

For: **Hot Runner Nozzle with  
Interlaced Heater and Sensor**

Confirmation No. 6429

Art Unit: 1722

Examiner: *To Be Assigned*

Atty. Docket: 2107.0570004/TUM

**Information Disclosure Statement**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO/SB/08A are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO/SB/08A based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☒ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to

the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

☐ c. Attached is our PTO-2038 Credit Card Payment Form [OR] Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p).

☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Attached is our PTO-2038 Credit Card Payment Form [OR] Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

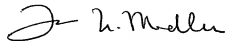
- ☑ 5. Documents AO1, BB1, BE1 and BE2 were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report, Document Ref. No. BC2, that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☑ 7. Copies of the documents all documents, except Document Nos. AO1, BB1, BE1 and BE2, were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. 09/520,843, filed March 8, 2000 and/or Application No. 10/025,767, filed December 26, 2001 which are relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08A, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Teresa U. Medler  
Attorney for Applicants  
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Date: Sept 30, 2003

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Substitute for form 1449/APTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## C mplete if Known

Application Number	10/601,190
Filing Date	June 23, 2003
First Named Inventor	Gellert et al.
Art Unit	1722
Examiner Name	
Attorney Docket Number	2107.0570004/TUM

Sheet One of Four

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA1	US-2,088,586	08-03-1937	Cole et al.	
	AB1	US-2,378,530	06-19-1945	Ballic et al.	
	AE1	US-2,522,365	09-12-1950	Greene	
	AA1	US-2,769,291	11-06-1956	Lorenian	
	AE1	US-2,794,504	11-26-1957	Carpenter	
	AE1	US-2,814,070	11-26-1957	Bulkley et al.	
	AG1	US-2,875,312	02-24-1959	Norton	
	AH1	US-2,987,300	06-06-1961	Greene	
	AJ1	US-2,991,423	07-04-1961	Vackar	
	AJ1	US-3,062,940	11-06-1962	Bauer et al.	
	AK1	US-3,550,267	12-29-1970	Williams	
	AL1	US-3,553,788	01-12-1971	Putkowski	
	AM1	US-3,677,682	07-18-1972	Putkowski	
	AN1	US-3,800,027	03-26-1974	Tsutsumi	
	AO1	US-3,812,323	05-21-1974	Pink	
	AP1	US-3,831,004	08-20-1974	Wallstrom	
	AQ1	US-3,849,630	11-19-1974	Hallday	
	AR1	US-3,911,251	10-07-1975	Day	
	AS1	US-3,912,907	10-14-1975	Lodi	
	AT1	US-3,970,821	07-20-1976	Crandell	
	AU1	US-4,032,046	06-28-1977	Elliot et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document - Country Code <sup>2</sup> - Number <sup>3</sup> - Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	BA1	DE 3324901 A1	01-24-1985	Schwarzkopf		
	BB1	DE 8620956 U	11-27-1986	unknown		
	BC1	DE 9201797.5 U1	05-21-1992	unknown		
	BD1	DE 4242505 A1	06-23-1994	Schwarzkopf		
	BE1	DE 19522716 C	09-16-1996	Schwarzkopf		
	BF1	DE 19941038 A1	03-01-2001	Gunther		
	BG1	EP 444748 A1	09-04-1991	Van Bockel et al.		
	BH1	EP 590621 A1	04-06-1994	Schmidt		
	BI1	EP 748678 A1	12-28-1996	Vorkoeper et al.		
	BJ1	EP 765728 A2	04-02-1997	Blank et al.		

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

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Substitute for form 1449A/PTO

Application Number	10601,190
Filing Date	June 23, 2003
First Named Inventor	Gellert et al.
Art Unit	1722
Examiner Name	
Attorney Docket Number	2107.0570004/TUM

Sheet Two of Four

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA2	US-4,120,086	10-17-1978	Crandell	
	AB2	US-4,238,671	12-09-1980	Gellert	
	AC2	US-4,304,544	12-08-1981	Crandell	
	AD2	US-4,373,132	02-08-1983	Vartanian	
	AE2	US-4,376,244	03-08-1983	Gellert	
	AF2	US-4,386,262	05-31-1983	Gellert	
	AG2	US-4,403,405	09-13-1983	Gellert	
	AH2	US-4,438,064	03-20-1984	Ysutsuni	
	AJ2	US-4,438,322	03-20-1984	Sylvia	
	AI2	US-4,485,387	11-27-1984	Drumbeller	
	AK2	US-4,492,556	01-08-1985	Crandell	
	AL2	US-4,501,550	02-26-1985	Nukkuni	
	AM2	US-4,516,927	05-14-1985	Yoshida	
	AN2	US-4,557,685	12-10-1985	Gellert	
	AO2	US-4,583,284	04-22-1986	Gellert	
	AP2	US-4,588,367	05-13-1986	Schad	
	AQ2	US-4,621,251	11-04-1986	Keele	
	AR2	US-4,635,851	01-13-1987	Zecman	
	AS2	US-4,641,423	02-10-1987	Crandell	
	AT2	US-4,643,664	02-17-1987	Yoshida	
	AU2	US-4,644,140	02-17-1987	Hillinger	

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Application Number	10/601,190
Filing Date	June 23, 2003
First Named Inventor	Gellert et al.
Art Unit	1722
Examiner Name	
Attorney Docket Number	2107.0570004/TUM

Sheet	Three	of	Four
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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA3	US-4,652,230	03-24-1987	Osuna-Diaz	
	AB3	US-4,704,516	11-03-1987	Tsutsumi	
	AC3	US-4,711,625	12-08-1987	Knauer <i>et al.</i>	
	AD3	US-4,740,674	04-26-1988	Tsutsumi	
	AE3	US-4,768,283	09-06-1988	Gellert	
	AF3	US-4,771,164	09-13-1988	Gellert	
	AG3	US-4,795,126	01-03-1989	Crandell	
	AH3	US-4,837,925	06-13-1989	Gellert	
	AI3	US-4,865,535	09-12-1989	Gellert	
	AJ3	US-4,882,469	11-21-1989	Trakas	
	AK3	US-4,894,197	01-16-1990	Tsutsumi	
	AL3	US-4,911,636	03-27-1990	Gellert	
	AM3	US-4,945,630	08-07-1990	Gellert	
	AN3	US-4,981,431	01-01-1991	Schmidt	
	AO3	US-4,988,848	01-29-1991	Trakas	
	AP3	US-5,051,086	09-24-1991	Gellert	
	AQ3	US-5,055,028	10-08-1991	Trakas	
	AR3	US-5,136,141	08-04-1992	Trakas	
	AS3	US-5,136,143	08-04-1992	Kutner <i>et al.</i>	
	AT3	US-5,147,663	09-15-1992	Trakas	
	AU3	US-5,225,211	07-06-1993	Imaida <i>et al.</i>	

## FOREIGN PATENT DOCUMENTS

[illegible]

**Signature**

\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional) See: Kinds Codes of USPTO Patent Documents at [www.uspto.gov/mpep/601.04](http://www.uspto.gov/mpep/601.04). \* Enter Office that issued the patent document. \* Enter the fee letter code (WIPO Stanpat ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor is used. \* Enter the year of the reign of the Emperor. \* Enter the year of the patent document. \* Kind of document by the appropriate symbols as indicated on the document. \* Check mark here if English language Translation is attached.

[illegible]

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SEP 30 2003

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/601,190
Filing Date	June 23, 2003
First Named Inventor	Gellert <i>et al.</i>
Art Unit	1722
Examiner Name	
Attorney Docket Number	2107.0570004/TU

Sheet	Four	of	Four
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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA4	US-5,226,596	07-13-1993	Okamura	
	AB4	US-5,235,737	08-17-1993	Gellert	
	AC4	US-5,266,023	11-30-1993	Renwick	
	AD4	US-5,282,735	02-01-1994	Gellert	
	AE4	US-5,326,251	07-05-1994	Gellert	
	AF4	US-5,360,333	11-01-1994	Schmidt	
	AG4	US-5,411,393	05-02-1995	Askin <i>et al.</i>	
	AH4	US-5,456,592	10-10-1995	Shindo	
	AI4	US-5,504,304	04-02-1996	Noguchi <i>et al.</i>	
	AJ4	US-5,527,177	06-18-1996	Potter	
	AK4	US-5,614,233	03-25-1997	Gellert	
	AL4	US-5,704,113	01-06-1998	Mak	
	AM4	US-5,820,900	10-13-1998	McGrevy	
	AN4	US-5,871,786	02-16-1999	Hume <i>et al.</i>	
	AO4	US-5,955,120	09-21-1999	Deissler	
	AP4	US-5,973,296	10-26-1999	Juliano <i>et al.</i>	
	AQ4	US-6,040,528	03-21-2000	Kitamura <i>et al.</i>	
	AR4	US-6,394,784	05-28-2002	Gellert <i>et al.</i>	
	AS4	US-2002/0160075	10-31-2002	Bazzo <i>et al.</i>	

## FOREIGN PATENT DOCUMENTS

[illegible]

**Signature** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 600.101. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional) See Kinds Codes of USPTO Patent Documents at [www.uspto.gov/pat/mpep/mpep.html](http://www.uspto.gov/pat/mpep/mpep.html)

001.04 \*Enter Office of the Examiner's unique citation designation number (optional) See Kinds Codes of USPTO Patent Documents at [www.uspto.gov/pat/mpep/mpep.html](http://www.uspto.gov/pat/mpep/mpep.html)

\_\_\_\_\_ place a check mark here if English language Translation is attached.

\_\_\_\_\_ place a check mark here if English language Translation is attached.

This collection of information is governed by 37 CFR 1.907 and 1.908. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is required by 37 CFR 1.121 and 37 CFR 1.144. This collection is estimated to take 2 hours to complete, including gathering, preparing, reviewing, and submitting the information. To obtain a copy of this form and/or application, Confidentiality is required by 37 CFR 1.121 and 37 CFR 1.144. Time will vary depending upon the individual case. Any comment on this collection of information should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22314-1450. For more information, contact the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22314-1450. Suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22314-1450. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22314-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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